

# Binding, sliding, and function of cohesin during transcriptional activation

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The ring-shaped cohesin complex orchestrates long-range DNA interactions to mediate sister chromatid cohesion and other aspects of chromosome structure and function. In the yeast Saccharomyces cerevisiae, the complex binds discrete sites along chromosomes, including positions within and around genes. Transcriptional activity redistributes the complex to the 3' ends of convergently oriented gene pairs. Despite the wealth of information about where cohesin binds, little is known about cohesion at individual chromosomal binding sites and how transcription affects cohesion when cohesin complexes redistribute. In this study, we generated extrachromosomal DNA circles to study cohesion in response to transcriptional induction of a model gene, URA3. Functional cohesin complexes loaded onto the locus via a poly(dA:dT) tract in the gene promoter and mediated cohesion before induction. Upon transcription, the fate of these complexes depended on whether the DNA was circular or not. When gene activation occurred before DNA circularization, cohesion was lost. When activation occurred after DNA circularization, cohesion persisted. The presence of a convergently oriented gene also prevented transcription-driven loss of functional cohesin complexes, at least in M phase-arrested cells. The results are consistent with cohesin binding chromatin in a topological embrace and with transcription mobilizing functional complexes by sliding them along DNA.

cohesin | sister chromatid cohesion | transcription | URA3 | poly(dA:dT)

The protein complex known as cohesin organizes eukaryotic genomes into structures that segregate faithfully between dividing cells. The complex was first discovered for its role in mediating sister chromatid cohesion, but it is now known to participate in numerous aspects of chromosome biology (1, 2). Cohesin is clinically relevant because mutations in subunits of the complex or in factors that load and activate the complex lead to developmental disorders such as Cornelia de Lange syndrome, Roberts syndrome, and Warsaw breakage syndrome (3, 4).

Cohesin is a ring-shaped complex composed of four subunits named Smc1, Smc3, Scc3, and Mcd1/Scc1 in budding yeast. The complex is thought to embrace DNA topologically with chromatin fibers passing through a central open channel (5-7). A substantial body of work supports a model in which cohesion arises from single cohesin complexes that embrace both sister chromatids [the double embrace model (6)]. Whether the central channel is large enough to accommodate both chromatids has recently been brought into question (8). In addition, the behavior of cohesin has not always been consistent with a double embrace by single complexes (for examples, see refs. 9-11). These situations usually involve a perturbation that causes loss of cohesion but not a loss of cohesin binding from chromatin. Such results force consideration of alternative models in which cohesin complexes embrace only one chromatid topologically and interact with other cohesin complexes or chromatin features to mediate cohesion (9, 11, 12).

Cohesin binds densely in centromeric regions of chromosomes where it helps mount sister chromatids onto spindle microtubules from opposing poles (biorientation). Cohesin also participates in the repair of damaged DNA, binding large regions surrounding double-stranded breaks. In the absence of damage, cohesin still binds chromosome arms at somewhat regular intervals, averaging about once per 10–15 kb in yeast (13–16). In both *Saccharomyces cerevisiae* and *Schizosaccharomyces pombe*, many of these sites correspond to locations where transcription units converge. Because cohesion occurs along the entire length of sister chromatids, it might be assumed that all cohesin on chromosome arms participates in cohesion. Rarely, however, has the contribution of complexes at individual sites been tested (for exceptions, see refs. 9, 17, 18). Binding at only a subset of chromosomal sites would likely be sufficient to hold chromosome arms together (9). To complicate matters, at any given site, cohesion might occur in some cells but not in others. That the cellular level of cohesin can be lowered by 85% in yeast without an overt increase in sister chromatid separation indicates that there are more complexes than necessary to achieve genome-wide cohesion (19).

The tight topological embrace of chromatin by cohesin raises the question of whether transcriptional elongation and sister chromatid cohesion are compatible. Work with representative RNA polymerase II genes showed that transcription causes cohesin to move from initial positions on ORFs, often leading to enrichment at downstream regions (15, 16, 20–22). Mobilization by an advancing RNA polymerase has been invoked to account for the redistribution. One model holds that RNA polymerase slides cohesin to new locations. An alternative model holds that RNA polymerase passage evicts cohesin complexes from the DNA, followed by subsequent rebinding downstream. Partial or complete disassembly of cohesin during transcription would lead to cohesion loss in an M phase cell because cohesion is only established during S phase progression (22–25).

#### Significance

Newly replicated sister chromatids are held together by cohesin to facilitate chromosome segregation and DNA repair. The ring-shaped cohesin complex encircles DNA at specific chromosomal sites, including sites that encode genes. Transcription by RNA polymerase pushes cohesin complexes off genes, but the effect of mobilization on cohesin function is not known. Here a system to study the fate of cohesin during transcription in yeast is described. The data indicate that transcription moves cohesin off genes by sliding the complexes along DNA. The mobilized complexes continue to hold sister chromatids together while being moved. This study demonstrates that sister chromatid cohesion and transcription are mutually compatible because cohesin complexes retain their function when mobilized by RNA polymerase.

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In this study we sought to characterize the function and fate of cohesin complexes on a model eukaryotic gene in the yeast *S. cerevisiae.* We evaluated cohesion by converting a genomic region of interest into an extrachromosomal DNA circle in M phase-arrested cells. We used the approach to identify sequences responsible for loading functional cohesin complexes onto DNA and then investigated the fate of those complexes upon transcriptional induction. The closed circular nature of the DNA templates after DNA circularization allowed us to distinguish between models for cohesin mobilization. Our data are consistent with the transcription-driven repositioning of functional cohesin complexes by sliding.

#### Results

**Cohesion of the URA3 Gene.** This study focuses on the well-studied reporter gene *URA3* that encodes orotidine-5'-phosphate decarboxylase of the pyrimidine biosynthetic pathway. The gene is expressed at low basal levels in rich media and induced severalfold by a transcriptional activator upon uracil depletion (26). The domain of chromosome V that bears *URA3* has frequently

been used as a reporter of genome-wide cohesion (1). Cohesin binds in and around the gene, but whether these specific complexes contribute to cohesion of the chromosomal domain is not known (15, 16, 27).

An inducible recombination assay was used to study cohesion mediated by *URA3*. In the assay, a chromosomal region of interest (ROI) is tagged with GFP-lacI and flanked by *RS* target sites for the R site-specific recombinase (Fig. 1*A*). Such constructs are termed excision cassettes. Induction of the recombinase in M phase-arrested cells creates a pair of DNA circles that yield one dot of GFP fluorescence if they cohere or two foci if they do not. In this way, the cohesive behavior of a specific chromosomal domain can be evaluated independently of other domains of chromosomal cohesion. Previous work in our laboratory used the assay to study the heterochromatic *HMR* locus (9, 28). The work described herein started with an *HMR* excision cassette (Fig. 1*B*). All sequences corresponding to *HMR* and the adjacent tRNA gene were replaced with a 1.1 kb DNA segment containing the *URA3* ORF and all known *URA3* regulatory



**Fig. 1.** *URA3* supports cohesion. (*A*) Schematic of experimental protocol. ROI, region of interest. Half-filled boxes represent *RS* sites for the R site-specific recombinase. Fluorescence micrographs of strain JSC97 are provided. Two large-budded, M phase-arrested cells are shown, one with a single focus of GFP-lacl fluorescence and the other with two foci of GFP-lacl fluorescence (red arrows). (*B*) Schematic of the constructs used. (*C*) Cohesion measurements. Strains MSB7 (*URA3f*) and MSB13 (empty) were arrested in M phase by Cdc20 depletion, whereas JSC97 (*URA3r*) was arrested with nocodazole (+NZ). Nicotinamide (NAM; final concentration, 2 mM) was added to half of the JSC97 culture 2 h after DNA circularization, and cells were harvested 2 h later. N denotes the number of cells examined. *P* values for pairwise  $\chi^2$  tests are presented relative to a benchmark strain designated with a dash.

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elements (construct *URA3f*, Fig. 1*B*). A similar construct with a slightly smaller DNA segment was generated with the gene in the opposite orientation (construct *URA3r*). *URA3* was induced normally in these ectopic positions (Fig. S1A). Unless otherwise specified, uracil was included in the growth media to suppress transcriptional activation. A third construct, named empty, was generated by omitting all *URA3* sequences.

DNA circles were generated efficiently from the excision cassettes in M phase-arrested cells (Fig. S2). Fig. 1C shows that DNA circles bearing the uninduced URA3 gene, irrespective of orientation, were cohered in the majority of cells examined. On the other hand, the empty DNA circles lacking URA3 yielded a low level of cohesion, a level that was comparable to earlier negative control DNA circles (28). Sir2 inhibitors, like nicotin-amide (NAM), abolish heterochromatic cohesion (9). That NAM did not diminish cohesion here indicated that the mechanism holding these DNA sequences together did not stem from unanticipated residual heterochromatin (Fig. 1C). These data indicate that the URA3 gene alone is sufficient to impart cohesion to a chromosomal domain.

**URA3 Cohesion Requires the Cohesin Pathway.** Cohesion of the left arm of chromosome V near the endogenous *URA3* locus relies on cohesin, as well as condensin (27). To determine whether these protein complexes participated in cohesion of *URA3* specifically, *URA3r* DNA circles were monitored in strains with conditional mutations in the cohesion and condensation pathways. Cultures were shifted from permissive to nonpermissive temperature (from 25 to 37 °C) after initiating recombination during the M phase arrest. The unrecombined chromosomal arm was also evaluated by omitting the recombination step. Fig. 2*A* shows that inactivation of Mcd1 and Smc3 cohesin subunits caused loss of cohesion for both the DNA circles and chromosome arm. Inactivation of condensin subunit Ycs4, on the other hand, did not disrupt cohesion of either. These results indicate that cohesion of *URA3* requires cohesin but not condensin at this ectopic location.

*ECO1* acetylates residues in the cohesin subunit Smc3 to establish cohesion (29, 30). The conditional  $eco1^{W216G}$  allele con-

tains a missense mutation that corresponds to a pathogenic change in the orthologous human *ESCO2* gene that causes Roberts syndrome (31, 32). Inactivation of Eco1 did not lead to an obvious defect in cohesion of the chromosomal arm (Fig. 24) (also see ref. 33), nor did it affect cohesion of a circular, *CEN/ARS* minichromosome (Fig. S3*A*). These results are expected because Eco1 normally establishes cohesion during S phase. Inactivation of the establishment factor during M phase should not alter preexisting cohesion.

Surprisingly, cohesion of *URA3r* DNA circles was diminished in the  $eco1^{W216G}$  mutant, even at the permissive temperature for the strain (Fig. 2). Similar results were obtained with DNA circles bearing heterochromatic *HMR*, indicating that the effect was not specific to *URA3* (Fig. S3B). The results demonstrate that the  $eco1^{W219G}$  allele is not fully functional at 25 °C. We hypothesize that the DNA circles of the cohesion assay are sensitive reporters of eco1 impairment because they permit evaluation of cohesion at individual loci. The redundancy of sites where cohesin acts in an unrecombined chromosome arm, as well as the robust binding of cohesin near a centromere, may mask partial loss of Eco1 activity on chromosomes.

Sequence Determinants for Cohesion of URA3. Deletions were made within the URA3 locus to identify elements required for cohesion. Note that ura3 mutants accumulate upstream pathway metabolites that induce the activity of Ppr1, the transcriptional activator that operates on URA3 (Fig. S1B) (34). As discussed in depth below, URA3 induction alters cohesion of the locus. Therefore, all experiments using truncated ura3 constructs were performed in strains that contained a second functional URA3 allele either from S. cerevisiae or Kluyveromyces lactis.

Deletion of the URA3 ORF alone did not disrupt cohesion of the locus (Fig. 3A, construct *orf*<sub>trunc</sub>). Additional truncations through the URA3 promoter showed that the TATA element and the binding site for Ppr1 (UAS<sub>URA</sub>) were also not required (constructs *tata*<sub>trunc</sub> and *uas*<sub>trunc</sub>, respectively). By contrast, a more extreme truncation that deleted part of a poly(dA:dT) tract caused complete loss of cohesion (construct *da:dt*<sub>trunc</sub>). Moreover,



**Fig. 2.** URA3 cohesion requires activated cohesin but not condensin. Strains JSC97 (*wt*), JSC96 (*scc1-73*), MSB147 (*smc3-42*), MSB157 (*eco1<sup>W216G</sup>*), and JSC164 (*ycs4-2*) bearing the URA3r excision cassette were grown at 25 °C and arrested in M phase with nocodazole. To examine the unrecombined chromosome, dextrose was added, and cells were fixed 2 h later. To examine DNA circles, galactose was added. After 2 h, the cultures were split, and half was shifted to 37 °C for 2 additional hours (*A*). The other half was left at 25 °C for 2 h (*B*). ns, nonsignificant.

deletion of part of the 62 bp poly(dA:dT) tract from an otherwise intact URA3 locus also caused complete loss of cohesion (construct  $\Delta da: dt$ , Fig. 3 A and B). Homopolymeric dA:dT tracts commonly reside in yeast promoters where their unique structural and mechanical properties, along with the RSC chromatin remodeling complex, help form nucleosome free regions (35, 36). The poly(dA:dT) tract of URA3 plays precisely this role in organizing chromatin (37). The cohesin loading complex (Scc2-Scc4) has been found at A-T rich DNA both in vitro and in vivo (8, 38). Together, these findings suggest that the poly(dA:dT) tract helps load functional cohesin complexes that generate cohesion at URA3.

Evidence that the poly(dA:dT) did not act alone was obtained from experiments in which URA3 promoter fragments were transferred to a different excision cassette assembled at the lys2 locus (Fig. 3C). Transfer of the entire promoter was sufficient for cohesion of lys2 DNA circles. By contrast, transfer of the poly(dA:dT) tract yielded only basal cohesion levels. When the poly(dA:dT) tract was transferred along with 31 bps of DNA that flank the upstream end of the tract (designated the UF, hereafter), cohesion was restored. These data suggest that the UF augments the function of the poly(dA:dT) tract in cohesion. In support, disruption of the UF in an otherwise intact URA3f excision cassette (construct  $\Delta uf$ ) reduced cohesion to an intermediate level (Fig. 3 A and B). URA3r lacks the UF entirely, yet the construct supports cohesion, suggesting the UF

might not always be required (Fig. 3A). It is not clear what features of the UF are relevant. The element contains a putative binding site for Nrg1, a transcriptional repressor that regulates carbon response (39). However, cohesion was not altered significantly when the Nrg1 binding site was mutated (Fig. S4). Conceivably, the UF recruits a factor that facilitates activity of poly(dA:dT) or instead promotes a favorable chromatin context for the nucleosome-free poly(dA:dT) tract.

One construct in this series of experiments stood out as an outlier (construct fulltnunc). In this case, everything between the UF and the URA3 terminator was deleted, including the poly(dA:dT) tract. Nevertheless, an intermediate level of cohesion was observed (Fig. 3A). We speculate that the large deletion placed the UF in sufficient proximity to (dA:dT) features in the URA3 terminator to yield cohesion.

Cohesin Binding to URA3. Chromatin immunoprecipitation (ChIP) was used to measure binding of cohesin to URA3 using a TAPtagged Mcd1 cohesin subunit. Binding was evaluated in M phasearrested cells under growth conditions in which the gene was not induced. Binding to the URA3 ORF at both the 5' and 3' ends was normalized to a well-documented binding site and compared with a negative control site (549.7 kb and 534 kb on chromosome V, respectively). At the endogenous location of URA3 (labeled

A UF UAS <sub>URA</sub>	terminator	construct	Ν	p	% 1 dot
/ poly(dA:dT) \ TATA	ORF / →→→→→→→→→→→→→→→→→→→→→→→→→→→→→→→→→→→→	URA3f	186	-	71.5
Æ	~~~~	orf <sub>trunc</sub>	176	ns	71.6
	0-1	tata <sub>trunc</sub>	165	<10-01	80.6
A	<u> </u>	uas <sub>trunc</sub>	228	<10-01	82.0
A		da:dt <sub>trunc</sub>	178	<10-12	33.1
		$\Delta da: dt$	262	<10-19	27.1
<u></u>		$\Delta u f$	257	<10-03	50.2
A	/	<i>full</i> <sub>trunc</sub>	316	<10-03	54.1
ORF TATA \ poly terminator UAS	y(dA:dT)	URA3r	222	ns	65.8

В UF poly(dA:dT) 5

 $\Delta da: dt$  deletion

p

ns

% 1 dot

53.1

29.1

33.6

48.6



 $\Delta u f$  deletion

Fig. 3. Sequence elements in the URA3 promoter are necessary and sufficient for cohesion. (A) The poly(dA:dT) tract is necessary for cohesion. Strains MSB7 (URA3f), HJ5 (orf<sub>trunc</sub>), HJ6 (tata<sub>trunc</sub>), HJ7 (uas<sub>trunc</sub>), HJ19 (da:dt<sub>trunc</sub>), HJ4 (full<sub>trunc</sub>), and HJ24  $(\Delta uf)$  were arrested in M phase by Cdc20 depletion. Strains HJ6388 (*Ada:dt*) and JSC97 (URA3r) were arrested with nocodazole. (B) DNA sequence surrounding the poly(dA:dT) element in the URA3 promoter. Underlines highlight the portions of the element that were deleted in the  $\Delta da:dt$  and  $\Delta uf$ constructs. (C) The poly(dA:dT) and UF elements generate cohesion in the lys2 context. Strains CSW91 (lys2 cassette alone), HJ22 (URA3 promoter add back), HJ23 (UF-dA:dT add back), and MSB155 (dA:dT alone add back) were arrested in M phase with nocodazole.

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*URA3e* for clarity), cohesin was detected at both 5' and 3' ends of the gene (Fig. 4*A* and *B*). At the *URA3f* excision cassette, lower but measurable levels were detected, at least at the 5' end of the gene (Fig. 4*C*). Despite the drop, the inclusion of *URA3* in the cassette provides sufficient functional cohesin for cohesion (Fig. 1*C*).

To measure the effect of the URA3 poly(dA:dT) tract on cohesin binding, the region spanning the poly(dA:dT) and UF elements was deleted, and the ChIP analysis was repeated. Surprisingly, the deletions had no measurable effect on the level of cohesin binding, either at the endogenous locus or the URA3f excision cassette (Fig. 4D). These findings indicate that the bulk of the cohesin in and about URA3 arises from complexes that load independently of the poly(dA:dT) tract. Moreover, based on the cohesion assays in Fig. 3A, the data suggest these complexes do not participate in cohesion of DNA circles. These results indicate that the DNA ring assay for cohesion provides a more reliable measure of functional cohesin complexes than ChIP, which yields a positive signal whether the complexes are functional or not.

**Transcription and the Fate of URA3 Cohesion.** Transcription of *URA3* increases roughly 2.5-fold when uracil is omitted from the growth media (Fig. S14). To determine whether transcriptional induction alters cohesion of the gene, DNA circles were formed in strains that had been grown continuously in the absence of uracil. Strikingly, the modest increase in *URA3* transcription abolished cohesion of both the *URA3f* and *URA3r* DNA circles (Fig. 5A). The data suggest that transcription prevents the accumulation of functional cohesin complexes on the *URA3* excision cassettes. The results are consistent with previous reports of transcription altering the distribution of cohesin on chromatin (15, 16, 20–22, 40).

ChIP of Mcd1-TAP was used to measure how transcriptional induction affected cohesin binding. As in the case of deleting the poly(dA:dT) and UF elements, cohesin levels at the 5' and 3' ends of the endogenous URA3 gene were largely unchanged by

elevated transcription (Fig. 5*B*). Similar results were obtained from analysis of the same sites in the *URA3f* excision cassette (Fig. 5*C*), as well as a site downstream of *URA3* in the cassette (Fig. S5). When the experiment was repeated with the *URA3r* excision cassette, the cohesin level at the 5' end of the gene similarly did not change, and the level at the 3' end actually increased slightly (Fig. 5*D*). These results indicate that nonfunctional cohesin complexes remain associated with *URA3* when transcription increases and cohesion falters.

Typically, cohesin loads onto chromosomes from late G1 phase until anaphase onset, but only those complexes that load before S phase passage acquire cohesive function (ref. 25 and references therein). If transcription was mobilizing cohesin, then new complexes arriving after S phase passage would yield ChIP signals but not cohesion. To test whether this process contributed to the cohesin landscape on URA3, cohesin loading was blocked conditionally by depleting Scc2, a subunit of the cohesin loading complex. The protein was fused to an auxin-inducible degron (AID) in strains expressing the SCF<sup>OsTir1</sup>, an alternative F box protein required for SCF-mediated degradation (41). Addition of the synthetic auxin analog 1-naphthaleneacetic acid (NAA) blocked colony formation of a strain expressing both Scc2-AID and OsTir1 and caused clearance of the Scc2-AID protein in 60 min (Fig. S6). In this experiment, NAA was added simultaneously with the induction of URA3 transcription after cells had been arrested in M phase. Cohesin levels were assessed 2 h later at the endogenous URA3 gene, as well as at a site on chromosome III (CARC1) that binds cohesin stably (42). At CARC1, loss of Scc2 did not affect cohesin binding irrespective of whether the media contained uracil or not (Fig. 5E). At URA3e, on the other hand, simultaneous induction of transcription and depletion of Scc2 lowered the level of bound cohesin more than 1.5fold (Fig. 5E). Even without transcriptional induction, depletion of Scc2 caused a slight reduction of cohesin, perhaps due to basal URA3 expression. Taken together, these results suggest that elevated



**Fig. 4.** Binding of cohesin to *URA3.* (*A*) Maps of the constructs used with regions tested by ChIP highlighted. (*B* and *C*) ChIP of TAP-tagged Mcd1 cohesin subunit. Binding was measured in strains MSB37 (*URA3e*, where *e* denotes the endogenous locus) and MSB19 (*URA3f*). Primers used to evaluate the 5' and 3' test sites are listed in Table S2. *P* values were obtained by Student's *t* tests and are presented relative to a negative control site designated with a dash. ns, not significant. (*D*) Effect of the  $\Delta(uf-da:dt)$  deletion on cohesin binding. Strains MSB37, MSB19, MSB153 [*URA3e*  $\Delta(uf-da:dt)$ ], and MSB156 [*URA3f*  $\Delta(uf-da:dt)$ ] were used as in *B* and *C*.

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**Fig. 5.** Transcriptional induction of *URA3* abolishes cohesion but not cohesin binding. (A) Cohesion of DNA circles bearing the *URA3* gene. Strains MSB7 (*URA3f*) and MSB20 (*URA3r*) were grown in media containing or lacking uracil from the outset of the experiment. (*B*) ChIP of Mcd1-TAP at chromosomal *URA3* genes. Strain MSB37 (*URA3e*) was grown in media containing or lacking uracil from the outset and cross-linked with formaldehyde following M phase arrest by Cdc20 depletion. (*C* and *D*) Strains MSB19 (*URA3f*) and JSC230 (*URA3r*) were evaluated as in *B*. (*E*) Transcription-dependent loss of cohesin from *URA3* in the absence of Scc2. Strain MSB128 (*SCC2-AID-9myc ADH1p-OsTIR1*) was arrested in M phase by Cdc20 depletion. Three hours later the culture was split into thirds. DMSO was added to one third, and 500 µM NAA was added to another. Uracil was removed from the final third when 500 µM NAA was added. Cohesin levels were assessed 2 h later at the 5' end of the endogenous *URA3* gene, as well as at a site on chromosome III (CARC1) that binds cohesin stably (42).

transcription causes displacement of at least some cohesin from *URA3*. In M phase-arrested cells, Scc2 normally replaces the displaced cohesin with new nonfunctional complexes.

**Transcription Displaces Functional Cohesin Complexes by Sliding.** The experiments of the last section indicated that elevated transcription was incompatible with cohesion. They did not shed light on whether functional cohesin complexes were displaced by sliding or by eviction, as discussed in the Introduction. A cohesion assay based on DNA circles allowed us to distinguish

between these two models. In a covalently closed DNA circle, transcription-driven sliding of functional cohesin complexes should not alter cohesion. If, on the other hand, transcription causes eviction of functional complexes from one or both sister chromatid circles, then cohesion should be abolished. Even if cohesin were to rebind following release, cohesion would be permanently lost in these M phase-arrested cells.

Transcription of URA3 was induced at three different stages during the cohesion assay, either (*i*) at the outset of the experiment, (*ii*) following M phase arrest but before DNA circle formation, or

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**Fig. 6.** DNA circularization or an opposing transcribed gene prevents transcription-dependent loss of *URA3* cohesion. (*A*) Experimental flowchart. Media containing uracil was replaced with media lacking uracil to induce *URA3* transcription at the various times indicated during cell growth for the cohesion assay. (*B*) DNA circularization before *URA3* induction prevents cohesion loss. Strain MSB35 (*URA3f-git1* $\Delta$ ) was used. (*C*) A convergently oriented *GIT1* gene prevents cohesion loss if *URA3* transcription is induced after M phase. Strain MSB7 (*URA3f*) was used. (*D*) Orientation dependence of the *SpHIS5MX* gene in preventing transcription-dependent loss of *URA3* cohesion. Strains MSB30 (*URA3f-SpHIS5MXf*) and MSB31 (*URA3f-SpHIS5MXr*) were used.

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Downlo

(*iii*) following DNA circle formation (Fig. 64). The final level of transcriptional induction in each of these scenarios was comparable for the constructs examined (Fig. S1 *C*–*F*). To simplify the study, we first generated a *URA3* excision cassette that lacked all other transcribed genes (construct *URA3f-git1* $\Delta$ ). Fig. 6B shows that the resulting DNA circles were cohered in the absence of transcriptional induction and that transcriptional induction at any time before DNA circularization abolished cohesion. Cohesion was not abolished if the DNA was circularized before transcriptional induction. These results show that topological closure of DNA prevents transcription-driven loss of functional cohesin complexes.

The experiments were repeated with the URA3f excision cassette that contains the GIT1 gene oriented convergently with URA3 (Fig. 6C). Transcriptional induction from the outset of the experiment abolished cohesion, as shown first in Fig. 5A. Like the URA3f-git1 $\Delta$  construct, prior DNA circularization of URA3f blocked subsequent transcription-driven loss of cohesion. The presence of the GIT1 gene imparted one important difference. Transcriptional induction after M phase arrest yet before DNA circularization also failed to abolish cohesion. The results suggest that the opposing GIT1 gene presents a barrier to transcriptiondriven loss of functional cohesin complexes in M phase. That escape is blocked in M phase is consistent with the maturation of DNA-bound cohesin during cell cycle progression, as discussed in more depth below.

The striking accumulation of cohesin between convergently transcribed genes in genome-wide S. cerevisiae studies suggested that gene orientation might contribute to the barrier created by GIT1. To test this notion, GIT1 was replaced with the SpHIS5MX module, a synthetic gene fusion built entirely from non-S. cerevisiae sequences (43). SpHIS5MX is transcribed in S. cerevisiae, conferring prototrophy to his3 mutants. Irrespective of orientation of the integrated SpHIS5MX module, induction of URA3 transcription from the outset of the experiment abolished cohesion, whereas induction following circularization did not (Fig. 6D). When transcription was induced following M phase arrest yet before DNA circularization, the orientation of the SpHIS5MX module affected the fate of cohesion. If SpHIS5MX was oriented in tandem with URA3, cohesion was abolished by URA3 induction. If SpHIS5MX was oriented convergently with URA3, measurable cohesion persisted despite URA3 induction. That GIT1 was more effective than SpHIS5MX at preventing cohesion loss may be related to differences in the strength of the two genes. These results show that a convergently oriented gene presents a barrier to transcription-driven loss of functional cohesin complexes in M phase-arrested cells.

#### Discussion

Transcription Causes Sliding of Functioning Cohesin Complexes. Previous studies showed that transcription mobilizes cohesin, causing a redistribution of complexes on genomic DNA. Previous studies also showed that cohesin binds DNA through a stable, topological embrace, a binding conformation that would support mobilization by sliding along DNA. Although some studies provided strong evidence for sliding of complexes in vivo (21), other studies found evidence for both sliding and eviction (22). With the DNA circle assay, we were able to use the persistence of cohesion as a definitive measure of whether functional cohesin complexes remain on DNA during transcription. We showed that URA3, a model euchromatic gene, was cohered by functional cohesin before transcriptional induction (Figs. 1 and 2) and that steady-state transcription abolished cohesion of the gene (Fig. 5). Critically, we found two conditions that prevented transcription-driven cohesion loss in M phase cells: DNA circularization before transcription and the presence of a convergently transcribed gene (Fig. 6). The two conditions are related in that both would prevent escape of cohesin complexes via sliding: topologically linked cohesin complexes would be trapped by either topological closure of DNA or by a large roadblock to cohesin passage, such as an opposing RNA polymerase (see models in Fig. 7 A-C). We conclude that transcription during M phase causes mobilization of functional cohesin complexes by sliding without dissociation from DNA. In a typical chromosomal setting, transcription would cause a localized redistribution of functional complexes without loss of sister chromatid cohesion. Although these data are consistent with a continuous topological embrace of DNA during transcription, the experiments do not address how many chromatids are held by cohesin; cohesion could arise from single complexes that embrace both chromatids or from multiple, interacting complexes that each embrace a single chromatid.

Convergently oriented genes blocked cohesion loss only when transcription was induced after M phase arrest (Fig. 6). Why did the same genes not create a barrier before M phase arrest? A likely explanation is related to the increase in cohesin binding stability achieved during S phase (44, 45). Coincident with DNA replication, acetylation of cohesin by Eco1 blocks opening of the DNA exit gate between the Smc3 and Mcd1 interface (29, 30, 46, 47). In yeast, acetylated complexes that are locked in a chromatid embrace are released only at anaphase onset by proteolytic cleavage. Before Eco1 acts in S phase, it is conceivable that unstable complexes slide without sensing roadblocks to passage. Indeed, a recent study showed that transcription redistributes cohesin on DNA even before S phase (21). After M phase arrest, however, it is clear that stabilized functional complexes cannot slide efficiently past a convergently transcribed barrier (Fig. 6). In agreement, the Gerton and Uhlmann laboratories found that cohesin mobilized by transcription in M phase-arrested cells accumulated at a convergently oriented gene (21, 22).

Comparison with the Dynamics of Cohesin in Vitro and in Vivo. The dynamics of purified cohesin on DNA were reportedly recently (8). Using microscopy of single complexes, Stigler et al. (8) showed that after DNA binding, cohesin diffused rapidly along the contour of the DNA molecule without dissociating until reaching a DNA end. Dissociation was blocked when both DNA ends were immobilized, a constraint similar to DNA circularization. Moreover, cohesin complexes could be pushed by a simple motor protein along DNA without dissociation. In a related fashion, the heterologous T7 RNA polymerase was shown to mobilize cohesin in S. cerevisiae (21). When mobilized by yeast RNA polymerase, DNA-bound complexes did not exchange with their soluble counterparts, a result also consistent with sliding (21). Our work extends these findings by showing that cohesin can also be pushed by yeast RNA polymerase in vivo without losing the ability to hold sister chromatids together.

In the purified system, cohesin complexes migrated with a rate of about 7 kb/h on naked DNA and much slower on chromatinized template (8). In even the simplest excision cassette used here, the *URA3* ORF constitutes only a small fraction of the total DNA (800 bp of 13,500 in the *URA3f-git1* $\Delta$  cassette; Fig. 6B). How transcription of the short gene can cause mobilization over such a great distance to escape the cassette is unclear. Inefficient termination of transcription at coding genes could result in the sliding of complexes beyond the limits of typical 3'-untranslated regions, and transcription of additional noncoding elements could move complexes still farther. Other DNA tracking enzymes might also contribute to cohesin mobility once complexes have been set in motion by transcription.

**Poly(dA:dT)** as a Point of Entry for Functional Cohesin Complexes. Our analysis of *URA3* truncations and deletions identified a promoter proximal poly(dA:dT) tract as a site critical for cohesion of the locus (Fig. 3). A small DNA segment immediately upstream of the tract (the UF) was also found to be important and might provide a proper context in which the poly(dA:dT) tract operates. In principle, the A-T rich sequences could act in





**Fig. 7.** Model for binding and sliding of cohesin at URA3. Only one sister chromatid is shown for clarity. (A) Before transcriptional activation, functional cohesin binds the gene, but the complex is displaced by sliding upon collision with RNA polymerase. (B) Circularization of DNA prevents escape of functional cohesin complexes that are mobilized by transcription. (C) An opposing gene prevents escape of functional cohesin complexes. (D) Cooccupancy of functional and nonfunctional cohesin. Nonfunctional complexes are depicted as semitranslucent because their true nature of binding is not known. Upon URA3 induction, cohesin slides downstream, but additional cohesin is loaded onto the gene without acquiring cohesive function in M phase-arrested cells.

one of two ways: as a site where functional cohesin complexes are loaded or a site where preloaded, nonfunctional complexes are made competent for cohesion. Recent work showed that the Scc2-Scc4 cohesin loading complex of S. cerevisiae associated with and helped maintain nucleosome free regions like those created by poly(dA:dT) tracts (38). Indeed, the data of Lopez-Serra et al. (38) showed a strong correlation between Scc2-Scc4 binding and the presence of poly(dA:dT) tracts. Work with proteins purified from S. pombe also showed that both cohesin and the cohesin loading complex possess an affinity toward A-T rich sequences (8). The loader increased the lifetime of complexes loaded at these sites (8, 48). Presumably, the long-lived complexes described in vitro are those that go on to create cohesion in vivo. We propose that the cohesin complexes that create cohesion at URA3 load at the poly(dA:dT) tract in the URA3 promoter.

#### A Distinction Between Functional and Nonfunctional Cohesin Complexes.

Despite the effect of the poly(dA:dT) tract on cohesion, deletion of the element did not reduce the level of measurable cohesin at URA3 (Fig. 4). This indicates that the complexes detected by ChIP were not those that yielded cohesion. If functional complexes were present at URA3 then why was ChIP not more informative? The simplest explanation is that functional complexes were outnumbered by nonfunctional complexes. The origin of nonfunctional complexes is not yet known, but they must accumulate via a path independent of the poly(dA:dT) tract.

Additional insight into the nonfunctional complexes came from the transcription experiments of Fig. 5. In most cases, induction of URA3 abolished cohesion without loss of cohesin (Fig. 5 A–D and Fig. S5). Only when Scc2 was depleted did cohesin levels diminish (Fig. 5E). This result suggests that transcription mobilized some of the nonfunctional complexes. Continuous loading of new nonfunctional complexes during M phase would thus mask changes in cohesin binding caused by URA3 transcription. Our findings at URA3 may seem at odds with previous studies of cohesin mobility, where transcriptional induction of model *MET* and *GAL* genes (25- and 1000-fold induction, respectively) generated cohesin-free ORFs (21, 22). In these cases, the rate of cohesin clearance by highly elevated transcription likely exceeded the rate of cohesin reloading. In the model in Fig. 7D, functional complexes are depicted alongside nonfunctional complexes (drawn as semitranslucent). Although transcription slides functional complexes (and presumably nonfunctional complexes) downstream, additional complexes that do not support cohesion are loaded after S phase.

It should be noted that nonfunctional complexes have been documented before. Upon Scc2 inactivation, which abolishes cohesion (49), cohesin still accumulated near Scc2 binding sites, perhaps owing to abortive loading reactions (15, 25). Nonfunctional cohesin was also mapped to a site downstream of *HMR* (denoted as tpx in ref. 28). Like *URA3*, cohesin levels at this site were not diminished by deleting the likely cohesin loading site (a neighboring Scc2-bound tRNA gene). The existence of nonfunctional complexes demands caution when interpreting the genomic distributions of cohesin on chromosome arms. Simply mapping binding sites does not inform about whether the complexes confer cohesion at any given position.

### **Materials and Methods**

Yeast Strains. Details of strain construction are provided in *SI Materials and Methods*. Strains are listed in Table S1, and oligonucleotides are listed in Table S2.

**Cell Growth and Arrest.** Strains were grown for the cohesion assay in the following manner unless specified otherwise. Cell growth was initiated in YPA or SC-met drop-out media containing 2% (wt/vol) dextrose for 6 to 8 h before inoculating similar media containing 2% (wt/vol) raffinose. Additional drop-outs were imposed as necessary to select for plasmids. After back-dilution the following morning, M phase arrest was initiated when the cultures reached midlog. Cultures grown in YPA were arrested with 10  $\mu$ g/mL nocodazole, a microtubule depolymerization agent. Cultures grown in SC-met were arrested by the addition of 2 mM methionine, which shut off *MET3p*-driven expression of Cdc20, a subunit of the anaphase promoting complex. After 3 h when roughly 80% of the cells attained a dumbbell shape, DNA circularization was triggered by the addition of 2% (wt/vol) galactose, which induced the

expression of a *GAL1p-R* recombinase gene fusion. Cells were harvested 2 h thereafter and fixed with fresh 4% (wt/vol) paraformaldehyde. Transcriptional induction of *URA3* was achieved by either growing cells from the outset in SC-ura media or by washing cells grown with uracil twice with water before resuspension in SC-ura media. After switching to SC-ura media, cultures were incubated for 2 h before proceeding to the next step of the protocol.

**Fluorescence Microscopy.** Paraformaldehyde fixation, slide preparation, and fluorescence microscopy were performed as described in ref. 9. All data sets were based on at least three independent trials for a total of 100–300 cells per condition. Roughly 10% of cells were excluded from analysis because they either (*i*) were unbudded, (*ii*) contained a new bud, or (*iii*) contained single dots on either side of the bud neck or other features indicative of escape from M phase arrest. In each case, data from at least three independent trials were pooled because they satisfied  $\chi^2$  tests of the homogeneity of proportions. Error bars represent the SEs of proportions. Each reported value was compared with that for an appropriate control by a  $\chi^2$  test.

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**Chromatin Immunoprecipitation.** ChIP was performed as described in ref. 50 using primers listed in Table S2. Primers that distinguish between the *S. cerevisiae* and *K. lactis URA3* genes were used with strains that carry both *URA3* alleles. The reported normalized values correspond to the signal at a given site relative to an internal positive control (549.7) divided by the same ratio of sites within the input. The mean and SD of three or more biological replicates are presented. Statistical significance was determined by pairwise Student's *t* tests.

**qRT-PCR.** RNA extraction and reverse transcription-PCR (RT-PCR) were performed as described in ref. 50 using the oligonucleotides listed in Table S2. The mean and SD of three or more biological replicates are presented.

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